## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:<br>S.K. Ma |  | ) Examiner: Not Yet Assigned  |  |  |
|----------------------------------|--|---|--|--|
| Serial No.:<br>Filed:<br>For:    | Not Yet Assigned<br>January 27, 2004<br>METHOD, SYSTEM, AND<br>PROGRAM FOR NAVIGATING<br>FILES | Art Unit: Not Yet Assigned ) ) ) ) ) ) )  |  |  |
| Commission P.O. Box 14           | ner for Patents<br>450   |   |  |  |
| Alexandria,                      | VA 22313-1450  |   |  |  |
|                                  | TRANSMITTAL OF INFORMATION   | ON DISCLOSURE STATEMENT   |  |  |
| Dear Sirs:                       |  |   |  |  |
| In a                             | ccordance with 37 CFR §§ 1.56, 1.97  | , and 1.98, enclosed please find Form PTO-  |  |  |
| 1449 listing                     | the attached references which might  | t be deemed material to the examination of the  |  |  |
| above-ident                      | tified application.  |   |  |  |
|                                  |  |   |  |  |
| A. <u>Non</u>                    | -English Language References   |   |  |  |
|                                  |  | counterpart application. The search report so on the relevancy of any non-English language ort. |  |  |
|                                  | The specification incorporates cor   | nments on the relevancy of Non-English  |  |  |
|                                  | language references.   |   |  |  |
|                                  | Set forth below are comments pro   | vided by the applicant's home country counsel   |  |  |
|                                  | on the relevancy of non-English la   | •   |  |  |

| B. |        | The information disclosure statement submitted herewith is being filed                                      |
|----|--------|---|
|    | 1)     | within three months of the filing date of a national application other than a                               |
|    |        | continued prosecution application under 1.53(d);  |
|    | 2)     | within three months of the date of entry into the national stage as set forth in                            |
|    |        | 1.491 in an international application;  |
|    | 3)     | before the mailing date of a first Office Action on the merits; or  |
|    | 4)     | before the mailing date of a first Office Action after filing of a Request for                              |
|    |        | Continued Examination under 1.114.  |
| C. |        | The information disclosure statement transmitted herewith is being filed after the                          |
|    |        | period specified in paragraph B, but before the mailing date of a final action under                        |
|    |        | 1.113, a Notice of Allowance under 1.311, or an action otherwise closing                                    |
|    |        | prosecution, and is accompanied by:   |
|    | 1)     | a Statement under 1.97(e); <u>or</u>  |
|    | 2)     | a fee of \$180 as set forth in 1.17(p).   |
|    |        |   |
| D. |        | The information disclosure statement transmitted herewith is being filed after the                          |
|    |        | period specified in paragraph C, but before payment of the issue fee, and is                                |
|    |        | accompanied by:   |
|    | 1)     | a Statement under 1.97(e); <u>and</u>   |
|    | 2)     | a fee of \$180 as set forth in 1.17(p).   |
|    |        | STATEMENT UNDER 1.97(e)   |
|    | I, the | person signing below, certify   |
|    |        | that each item of information contained in the information disclosure statement                             |
|    |        | was first cited in any communication from a foreign patent office in a counterpart                          |
|    |        | foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1). |
|    |        | that no item of information contained in the information displacement of                                    |
|    |        | that no item of information contained in the information disclosure statement was                           |

cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

David W. Victor

Registration No. 39 867

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Dated: January 27, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on <u>January 27, 2004</u>.

1/27/04

(Date)

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|   |   |    |   | Complete if Known      |                  |   |  |
|---|---|----|---|------------------------|------------------|---|--|
| Form 1449/PTO   |   |    |   | Application Number     | Not Yet Assigned |   |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) |   |    |   | Filing Date            | January 27, 2004 |   |  |
|   |   |    |   | First Named Inventor   | S.K. Ma          |   |  |
|   |   |    |   | Art Unit               | Not Yet Assigned |   |  |
|   |   |    |   | Examiner Name          | Not Yet Assigned |   |  |
| Page  | 1 | of | 2 | Attorney Docket Number | SVL920030110US1  | _ |  |

| U.S. PATENT DOCUMENTS |     |                                  |                  |                             |   |
|-----------------------|-----|----------------------------------|------------------|-----------------------------|---|
| Examiner Cit          |     | Document Number                  | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                    |
| Initials              | No. | No. Number- Kind Code (if known) | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
|                       |     | US-5,983,244                     | 11-09-99         | Nation                      |   |
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|                       |     | US-6,292,806                     | 09-18-2001       | Sandifer                    |   |
|                       |     | US-2002/0107855                  | 08-08-2002       | Nishi                       |   |
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|                       |     | US-2003/0188171                  | 10-02-2003       | DeCenzo et al.              |   |
|                       |     | US-                              |                  |                             |   |

| Examiner | Cite | Foreign Patent Document         | Publication Date | Name of Patentee or          | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |        |
|----------|------|---------------------------------|------------------|------------------------------|---|--------|
| Initials | No.  | Country Code- Number- Kind Code | MM-DD-YYYY       | Applicant of Cited  Document |   | '      |
|          |      | EP 0 573 171                    | 04-18-2001       | Sandifer                     |   |        |
|          |      | EP 1 168 235                    | 01-02-2002       | IBM Corp.                    |   | Г      |
|          |      | EP 1 225 766                    | 07-24-2002       | Matsushita Electric          |   |        |
|          |      | EP 1 302 883                    | 04-16-2003       | Zion, Ltd.                   |   |        |
|          |      |                                 |                  |                              |   |        |
|          |      |                                 |                  |                              |   | $\Box$ |

Complete if Known Form 1449/PTO **Application Number** Not Yet Assigned Filing Date January 27, 2004 INFORMATION DISCLOSURE First Named Inventor S.K. Ma STATEMENT BY APPLICANT Art Unit Not Yet Assigned (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 2 of Page 2 Attorney Docket Number SVL920030110US1

|                      | OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |  |     |  |  |  |
|----------------------|---|--|-----|--|--|--|
| Examiner<br>Initials | Cite<br>No.                                       | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Т   |  |  |  |
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|   | Examiner<br>Signature  |  | Date<br>Considered | · |  |
|---|--|--|--------------------|---|--|
| 1 | EYAMINED. Initial if gitation considered, whether are not situation in the Control of the Contro |  |                    |   |  |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.